FEB 1 3 2004 PA

Docket: 14097.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Ffrst Named Inventor:

Michael R. Oldenburg

Application No.:

10/706,443

Filing Date:

November 12, 2003

Not Yet Known

Title:

IMPROVED SEAL FOR A SHAFT

Group Art Unit: No

Examiner:

Not Yet Known

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 I hereby certify that this document is being sent via First Class U.S. mail addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 10 day of February, 2004.

KrisAnne Popovits

Dear Sir:

The following documents are enclosed in connection with the above-referenced patent application:

- 1. Information Disclosure Statement Under 37 CFR 1.97(b) (2 pages);
- 2. Form PTO/SB/08A (1 page, submitted in duplicate);
- 3. Copies of Eight (8) References Cited; and
- 4. Return Receipt Postcard.

Respectfully submitted,

DORSEY & WHITNEY LLP Customer Number 25763

Date: 2-10-04

Bv:

S. Wade Johnson

Reg. No. 50,873

Intellectual Property Department

Suite 1500

50 South Sixth Street

Minneapolis, MN 55402-1498

(612) 340-8835



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First Named Inventor:

Michael R. Oldenburg

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IMPROVED SEAL FOR A SHAFT

Group Art Unit:

Not Yet Known

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

Anne Person KrisAnne Popovits

Dear Sir:

Pursuant to 37 CFR § 1.97(b), the references listed on the attached Form PTO/SB/08A (1 sheet, submitted in duplicate) are brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the identified references are enclosed as necessary. This IDS is being filed before the mailing of a first office action on the merits. In accordance with 37 CFR § 1.97(b), no statement or fee is required.

Pursuant to MPEP 2001.06(c), the Applicant is making the Examiner aware of litigation involving Applicant. The case is entitled <u>Transcom, Inc. v. Universal Motion Components</u> <u>Company, Inc.</u>, Court File No. 8:03 CV 223, United States District Court, District of Nebraska. Transcom is asserting U.S. Patents Nos. 6,186,507, 6,406,026, and 6,464,228 against the defendant. The references listed on the attached Form PTO/SB/08A were recently identified by defendant in the litigation, and other associated patents and/or documents may come to our attention later.

Application No.: 10/706,443 Docket No.: 14097.01

Pursuant to the Manual of Patent Examining Procedure, Chapter 609, Applicant requests that the Examiner consider each of the listed documents and initial and return to the undersigned a copy of the enclosed PTO/SB/08A (submitted in duplicate).

Respectfully submitted,

DORSEY & WHITNEY LLP Customer Number 25763

Date: 2 - /0 - 04

By:

S. Wade Johnson

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PTO/SB/08A (10/01) (modified) ... U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Substitute form 1449A/PTO 10/706.443 **Application Number Filing Date** November 12, 2003 **INFORMATION DISCLOSURE First Named Inventor** Michael R. Oldeburg STATEMENT BY APPLICANT **Art Unit Not Yet Known** (use as many sheets as necessary) **Examiner Name Not Yet Known** Sheet 1 1 of **Attorney Docket Number** 14097.01 **U.S. PATENT DOCUMENTS** Pages, Columns, Lines, **DOCUMENT NUMBER Publication Date** Name of Patentee or Where Relevant Passages or Initials No. Number - Kind Code (if known) MM-DD-YYYY Applicant of Cited Document Relevant Figures Appear 3,275,333 9-27-1966 Scott et al. US-3,341,265 9-12-1967 **Paterson** US-4,208,057 6-17-1980 Messenger US-Prescott 4,283,063 8-11-1981 US-4,428,586 1-31-1984 Romero US-4,432,557 2-21-1984 **Drucktenhengst** US-4,799,808 1-24-1989 Otto US-5,553,870 9-10-1996 Czekansky et al. US-US-US-US-US-US-US-**FOREIGN PATENT DOCUMENTS** FOREIGN PATENT DOCUMENT TRANSLATION Pages, Columns, Lines, Number - Kind Code Country *Examine **Publication Date** Name of Patentee or Where Relevant Passages Code: (if known) No. Initial MM-DD-YYYY Applicant of Cited Document or Relevant Figures Appear YES NO П **EXAMINER SIGNATURE** DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.